



**KN**  
FINANCIAL SERVICES  
CK 2009/209927/23

Comprehensive Personal and Corporate Financial Planning Solutions

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### Disclosure and Declaration

#### Statutory Disclosures in terms of the Financial Advisory and Intermediary Services Act No. 37 of 2002

As a valued client of KN Financial Services cc, you have the right to the information contained in this notice:

#### A. Details of the Financial Services Provider

<b>Name</b>	KN Financial Services cc
<b>Physical Address</b>	Bryanston Gate Office Park Unit 1005, Block 1, 2 <sup>nd</sup> Floor Corner Main and Curzon Road Bryanston, 2194
<b>Postal Address</b>	Postnet Suite 231 Private Bag X033 Rivonia, 2128
<b>Website</b>	<a href="http://www.knfs.co.za">www.knfs.co.za</a>
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<b>E-mail address</b>	<a href="mailto:admin@knfs.co.za">admin@knfs.co.za</a>
<b>Legal Status</b>	KN Financial Services cc is a private company established in 2009. It does not have a shareholding greater than 10% in any company. The company earned / did not earn more than 30% of its remuneration from any product provider.
<b>Compliance Officer</b>	Masthead (Pty) Ltd Compliance Officer: Sharren Bhagwandin Telephone No: 011 602 0200 E-mail Address: <a href="mailto:sbhagwandin@masthead.co.za">sbhagwandin@masthead.co.za</a>
<b>Information Officer (POPI)</b>	Shereen Butler Telephone No. 011 463 3675 E-mail Address: <a href="mailto:shereen@knfs.co.za">shereen@knfs.co.za</a>

Member: Mark Moyes – H.DIP, CFP®  
Licenced Financial Services Provider FSP# 40932



<b>Protection of Personal Information (POPI) Disclosure</b>	<p>The Protection of Personal Information Act, Act No. 4 of 2013 (POPIA), effective from the 1<sup>st</sup> of July 2021, deals with the governance and safeguarding of personal data in South Africa. POPIA outlines how South African institutions need to go about collecting, processing, storing, sharing and maintaining personal information. KN Financial Services cc respects the privacy of our clients and is committed to keeping personal information secure and confidential. We are committed to compliance with data protection legislation, including POPIA, and respect our client's privacy. We therefore bring to your attention and ask that you read our Personal Information Policy which can be found on our website, <a href="http://www.knfs.co.za">www.knfs.co.za</a></p>
<b>Products and Services</b>	<p>KN Financial Services cc has been licensed to market and provide financial services for the following Category 1 products and services as defined in the Act:  Long-Term Insurance subcategory A; Long-Term Insurance subcategory B1; Long-Term Insurance subcategory C; Retail Pension Benefits; Pension Funds Benefits; Participatory interests in a collective investment scheme; Health Service Benefits; Friendly Society Benefits; Long-Term Insurance subcategory B2; Long-Term Insurance subcategory B2-A; Long-Term Insurance subcategory B1-A.</p>
<b>Professional Indemnity Insurance</b>	<p>We hold professional indemnity insurance</p>
<b>Complaints Management</b>	<p>A copy of our complaints handling process is available on request/ You are welcome to contact Shereen Butler at <a href="mailto:shereen@knfs.co.za">shereen@knfs.co.za</a> for further information or visit our website, <a href="http://www.knfs.co.za">www.knfs.co.za</a></p>
<b>Incentives</b>	<p>Our staff are individually remunerated for their services via a combination of fees, salary and commission.</p>
<b>Undertaking</b>	<p>As a licensed financial services provider, we will always strive to serve and protect your best interests. This includes ensuring that our staff meets the requirements stipulated by law, the FAIS Act and the POPI Act, to assist you with your financial requirements in a professional manner. Where applicable appropriate documentation will be sent to you in respect of any change to any investment or life assurance contract you enter through ourselves.</p>