

KN
FINANCIAL SERVICES
CK 2009/209927/23

Comprehensive Personal and Corporate Financial Planning Solutions

Address : Bryanston Gate Office Park
Unit 1005, Block 1, 2nd Floor
170 Curzon Road
Bryanston
2194
Postal : Postnet Suite 231
Private Bag X033
Rivonia, 2128
Tel. : 011 463 3675
Fax. : 086 683 3684
Web : www.knfs.co.za

Key Information Disclosure

AUTHORISED FINANCIAL SERVICES PROVIDER

KN Financial Services cc is an authorized financial services provider (FSP number 40932) in terms of Section 8 of the FAIS Act.

A copy of our license certificate is available on request.

FSP Name	40932
Registration number	2009/209927/23
Contact person	Mark Moyes / Shereen Butler
Email	mark@knfs.co.za / shereen@knfs.co.za
Telephone number	011 463 3675

COMPLIANCE OFFICER

Name	Masthead (Pty) Ltd
Telephone number	021 686 3588
Email address	info@masthead.co.za
Website	www.masthead.co.za

The Statutory Disclosure Notice is published on our website, or you can email shereen@knfs.co.za to obtain a copy.

CONFLICT OF INTEREST MANAGEMENT POLICY

KN Financial Services cc has adopted and implemented a Conflict-of-Interest Management policy that complies with the provisions of the FAIS Act.

The Conflict-of-Interest Management policy is published on our website, or you can also email shereen@knfs.co.za to obtain a copy of it.

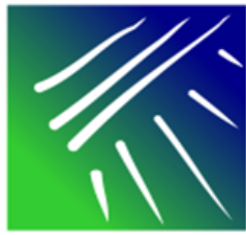
COMPLAINTS PROCEDURE

Should you wish to pursue a complaint against a key individual or representative of KN Financial Services cc, you should address the complaint in writing to us at mark@knfs.co.za / shereen@knfs.co.za

If you cannot settle your complaint with us, you are entitled to refer it to the Office of the FAIS Ombud, at info@faisombud.co.za or telephone number 0860 663 274. The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial advisor.



Member: Mark Moyes – H.DIP, CFP®
Licenced Financial Services Provider FSP# 40932



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TCF POLICY

Our Treating Customers Fairly policy is centered around the guidelines provided by the Financial Sector Conduct Authority to ensure we consistently deliver fair outcomes to our clients and take responsibility for the business and staff providing an enhanced service quality to clients, based on a culture of openness and transparency. As a business, we take the requirements of the FSCA seriously, in particular, the requirement to treat customers fairly.

PRIVACY POLICY

KN Financial Services cc is committed to maintaining the privacy and security of its customers' personal and private information. Our privacy policy outlines our practices and commitment to the customer and is published on our website, or you can email shereen@knfs.co.za to obtain a copy.

PAIA MANUAL

This information manual provides an outline of the types of records held by KN Financial Services cc and explains how one may submit requests for access to these records in terms of the Promotion of Access to Information Act, 2 of 2000 ("the Act"). The PAIA manual is published on our website, or you can email shereen@knfs.co.za to obtain a copy.

WEBSITE DISCLAIMER

Whilst every care is taken to ensure that the information on KN Financial Services cc website is current and accurate, please read our full disclaimer notice on the website.



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